#### Lecture 03

## The Perceptron And introduction to single-layer neural networks

STAT 453: Deep Learning, Spring 2020

Sebastian Raschka

http://stat.wisc.edu/~sraschka/teaching/stat453-ss2020/

#### Announcements

- Project groups (by next Tue), 3 members per group -- TA will set up a document where you can add your team member preferences
- Project topics (brainstorm with group members)
- HW1 (related to the Perceptron; more about that later)
- Piazza for questions, encouraged to help each other (but don't share your HW solutions)

#### From the News



IDEAS SCIENCE SECURITY

SIGN IN

#### The Most Complete Brain Map Ever Is Here: A Fly's 'Connectome'

It took 12 years and at least \$40 million to chart a region about 250 micrometers across—about the thickness of two strands of hair.

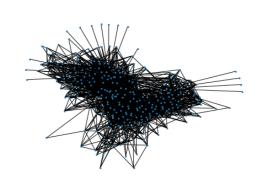


https://www.wired.com/story/most-complete-brain-map-ever-is-here-a-flys-connectome/

# After this lecture, you will be able to implement your first neuron model for making predictions!\*

https://github.com/vinayprabhu/Network\_Science\_Meets\_Deep\_Learning/blob/master/

<u> MNIST C Elegans.ipynb</u>



<sup>\*</sup>Sorry, we are not going to implement a fruit fly brain, but if you are interested in a worm brain: "MNIST classification using the neuronal network of Caenorhabditis elegans"

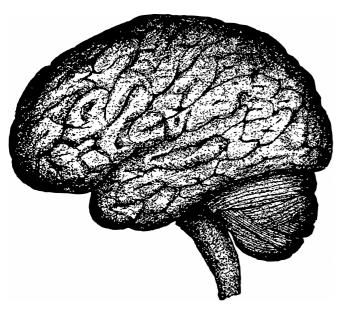
## Overview

- 1/5 -- Brains and neuron models
- 2/5 -- The perceptron learning rule
- 3/5 -- Optional: The perceptron convergence theorem
- 4/5 -- Geometric intuition
- 5/5 -- HW1

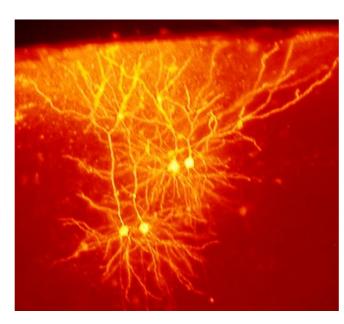
## Do our brains use deep learning?

- 1/5 -- Brains and neuron models
- 2/5 -- The perceptron learning rule
- 3/5 -- Optional: The perceptron convergence theorem
- 4/5 -- Geometric intuition
- 5/5 -- HW1

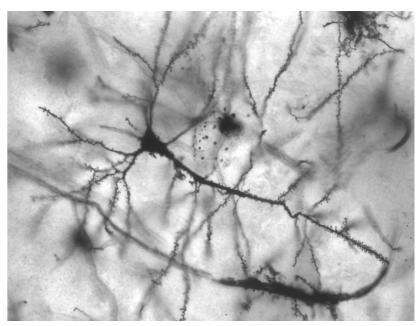
## Inspired by Biological Brains and Neurons



https://public domain pictures.net/en/view-image.php?image = 130359 & picture = human-brain



 $https://commons.wikimedia.org/wiki/Neuron\#/media/File:Mouse\_cingulate\_cortex\_neurons.jpg$ 



https://commons.wikimedia.org/wiki/Neuron#/media/File:Pyramidal\_hippocampal\_neuron\_40x.jpg



#### Number of neurons in brains ...

Name	Neurons in brain/who nervous sys	le +	Synapses +	Details	Image	Source
Spong	e	0			1	[3
Trichopla	x	0			3	[4]
Cion intestinali Iarva (sea squir	s	231	8617 (central nervous system only)			[5]
Asplanchn brightweli (rotife	ii ab	out 200		Brain only		[7]
Caenorhabditi elegan (roundworm	s	302	~7,500			[8]
Jellyfis	h	5,600		Hydra vulgaris (H. attenuate)		[9]
Finushala	15 000 000 000			Releasestare physicia		[55]

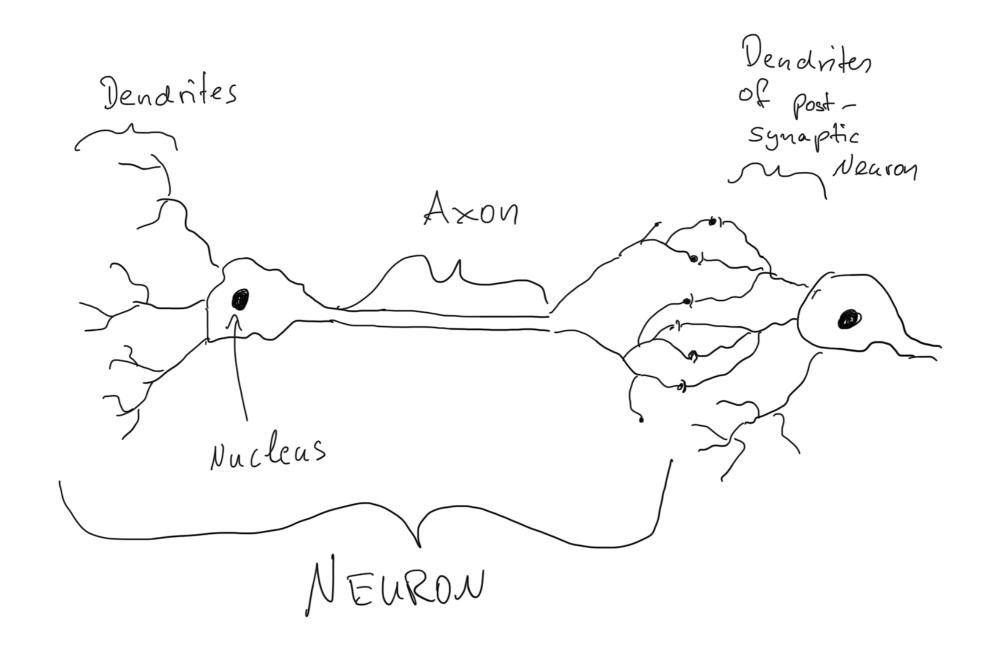
Fin whale	15,000,000,000	Balaenoptera physalus		[55]
Human	16,000,000,000	Homo sapiens: (For average adult) "The human cerebral cortex, with an average 1233 g and 16 billion neurons, is slightly below expectations for a primate brain of 1.5 kg, while the human cerebellum, at 154 g and 69 billion neurons, matches or even slightly exceeds the expected"		[43][2][56]
Long-finned pilot whale	37,200,000,000	Globicephala melas: "For the first time, we show that a species of dolphin has more neocortical neurons than any mammal studied to date including humans."	1	[57]

Source: https://en.wikipedia.org/wiki/ List\_of\_animals\_by\_number\_of\_neurons

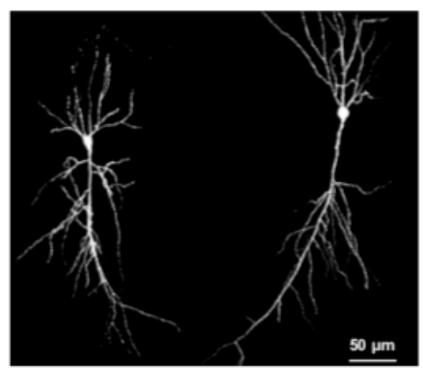
#### On a sidenote:

Name	Short	Long
	scale	scale
	(US,	(Western,
	Eastern	Central
	Europe,	Europe,
	English	older
	Canadian,	British,
	Australian,	and
	and	French
	modern	Canadian)
	British)	
Million	10 <sup>6</sup>	10 <sup>6</sup>
Milliard		10 <sup>9</sup>
Billion	10 <sup>9</sup>	10 <sup>12</sup>
Billiard		10 <sup>15</sup>
Trillion	10 <sup>12</sup>	10 <sup>18</sup>

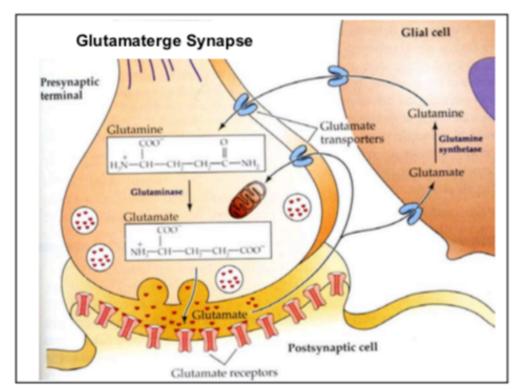
## A Biological Neuron



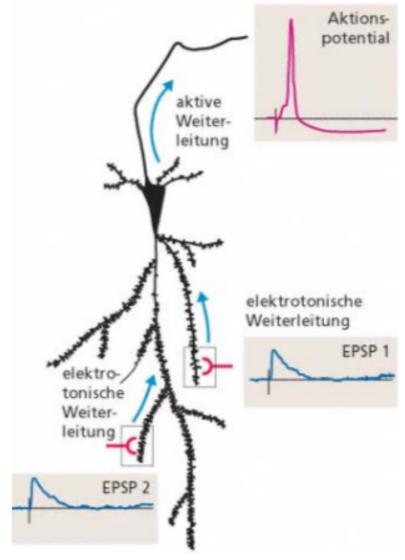
## Biological Neurons



Pyramidal neuron cells in mouse cortex



Synaptic connection is chemical

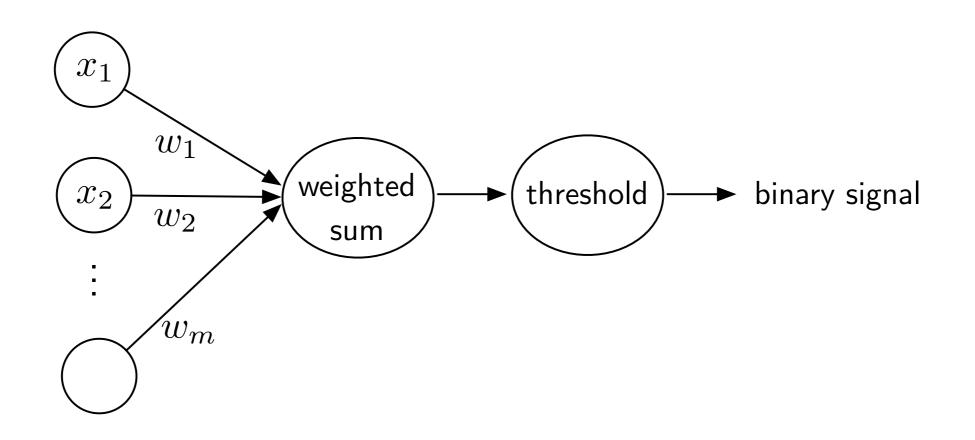


electrical postsynaptic potential accumulates; when it reaches a threshold => action potential signal

### McCulloch & Pitts Neuron Model

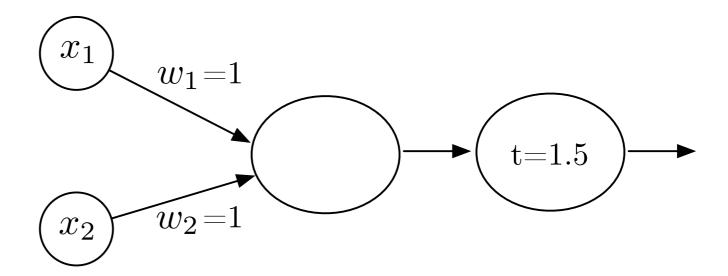
## A LOGICAL CALCULUS OF THE IDEAS IMMANENT IN NERVOUS ACTIVITY

WARREN S. McCulloch and Walter H. Pitts 1943



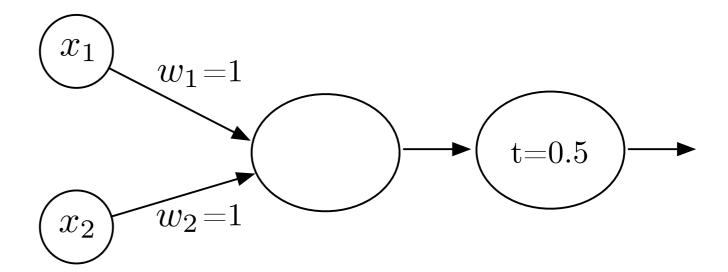
## Logical AND Gate

$x_1$	$x_2$	Out
0	0	0
0	1	0
1	0	0
1	1	1



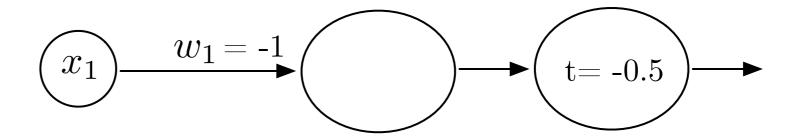
## Logical OR Gate

$x_1$	$x_2$	Out
0	0	0
0	1	1
1	0	1
1	1	1



## Logical NOT Gate

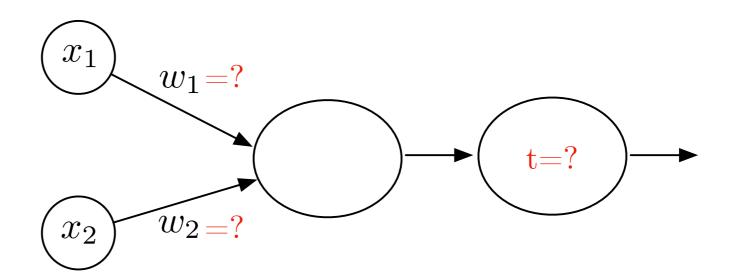
$x_1$	Out
0	1
1	0



## Logical XOR Gate

(Take-home exercise)

$x_1$	$x_2$	Out
0	0	0
0	1	1
1	0	1
1	1	0



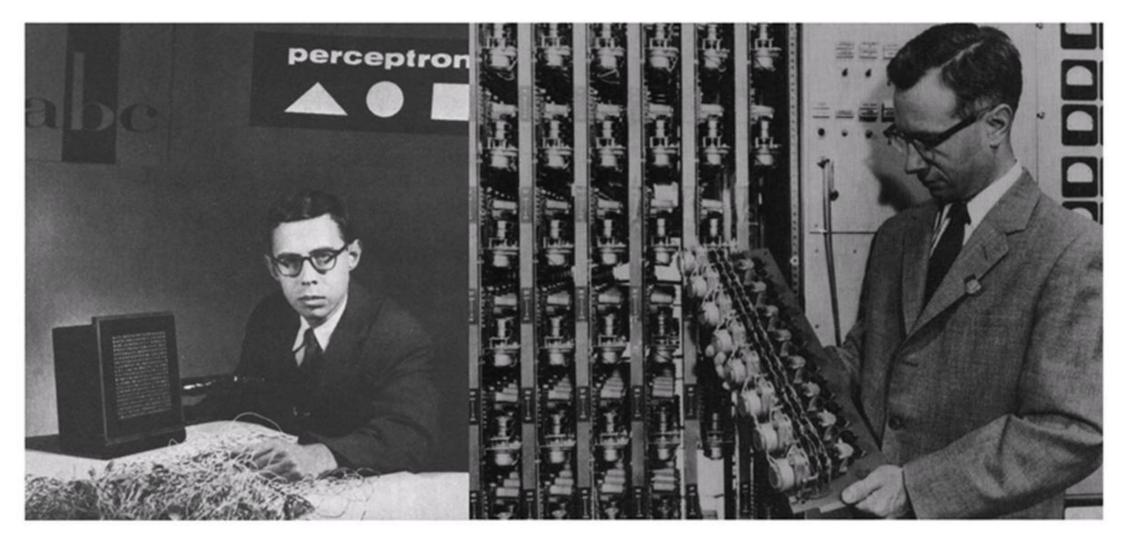
## Training Single-Layer Neural Networks

- 1/5 -- Brains and neuron models
- 2/5 -- The perceptron learning rule
- 3/5 -- Optional: The perceptron convergence theorem
- 4/5 -- Geometric intuition
- 5/5 -- HW1

## Rosenblatt's Perceptron

A learning rule for the computational/mathematical neuron model

Rosenblatt, F. (1957). The perceptron, a perceiving and recognizing automaton. Project Para. Cornell Aeronautical Laboratory.



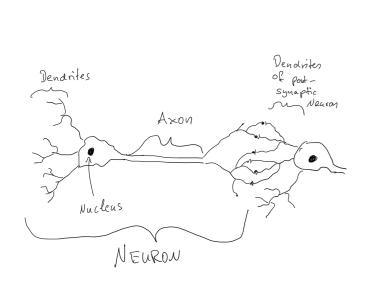
Source: http://www.enzyklopaedie-der-wirtschaftsinformatik.de/wi-enzyklopaedie/Members/wilex4/Rosen-2.jpg

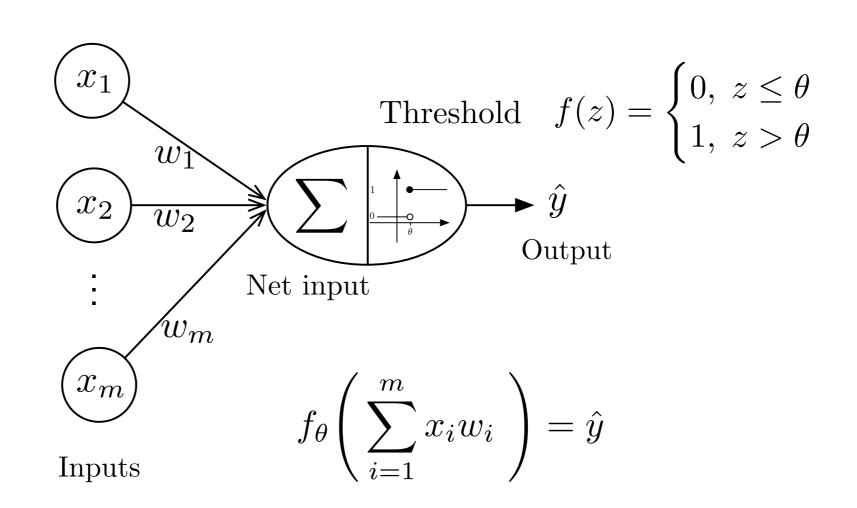
## Perceptron Variants

```
Note that Rosenblatt (and later others) proposed many variants of the Perceptron model and learning rule. We discuss a "basic" version; let's say,
```

"Perceptron" = "a classic Rosenblatt Perceptron"

## A Computational Model of a Biological Neuron





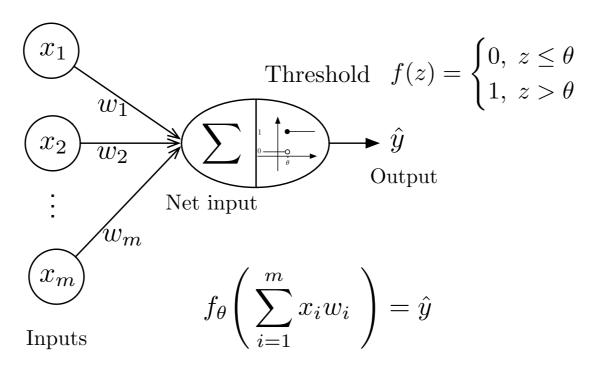
## Terminology

#### General (logistic regression, multilayer nets, ...):

- Net input = weighted inputs, z
- Activations = activation function(net input);  $a = \sigma(z)$
- Label output = threshold(activations of last layer);  $\hat{y} = f(a)$

#### **Special cases:**

- In perceptron: activation function = threshold function
- In linear regression: activation = net input = output



## Perceptron Output

$$\hat{y} = \begin{cases} 0, \ z \le \theta \\ 1, \ z > \theta \end{cases}$$

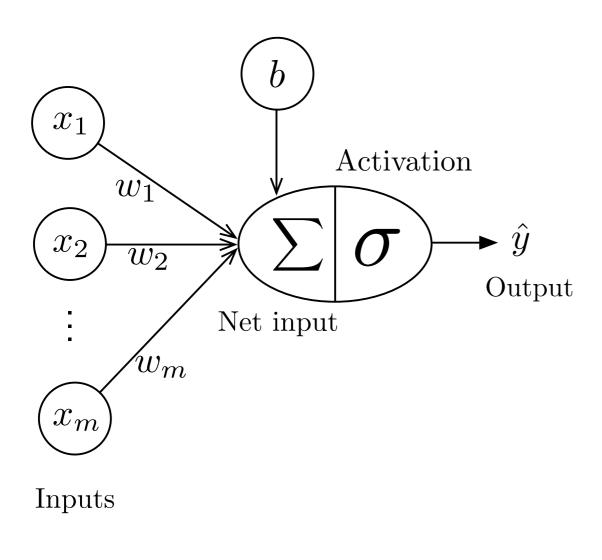
More convenient to re-arrange:

$$\hat{y} = \begin{cases} 0, \ z - \theta \le 0 \\ 1, \ z - \theta > 0 \end{cases}$$

negative threshold 
$$-\theta = \text{"bias"}$$

## General Notation for Single-Layer Neural Networks

- Common notation (in most modern texts): define the bias unit separately
- However, often inconvenient for mathematical notation



#### "separate" bias unit

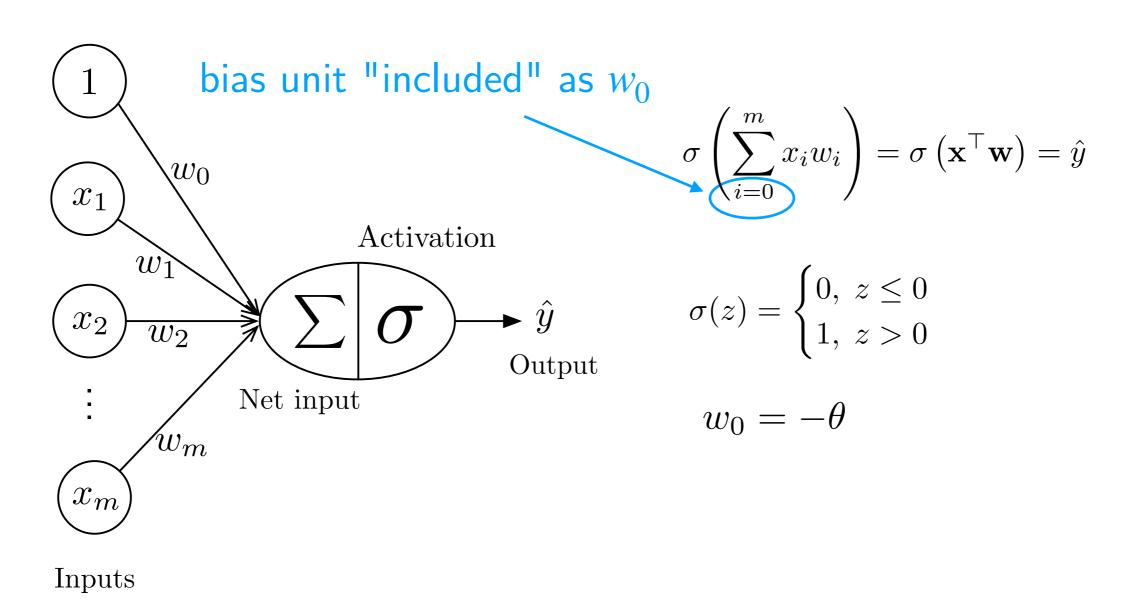
$$\sigma\left(\sum_{i=1}^{m} x_i w_i + b\right) = \sigma\left(\mathbf{x}^T \mathbf{w} + b\right) = \hat{y}$$

$$\sigma(z) = \begin{cases} 0, \ z \le 0 \\ 1, \ z > 0 \end{cases}$$

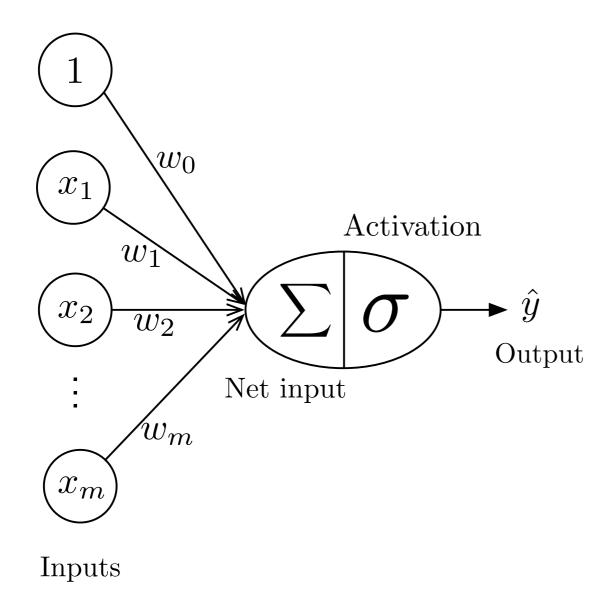
$$b = -\theta$$

## General Notation for Single-Layer Neural Networks

- ullet Often more convenient notation: define bias unit as  $w_0$  and prepend a 1 to <u>each</u> input vector as an additional "feature" value
- Modifying input vectors is more inconvenient/inefficient coding-wise, though



## General Notation for Single-Layer Neural Networks



#### Vector dot product

$$\sigma\left(\sum_{i=0}^{m} x_i w_i\right) = \sigma\left(\mathbf{x}^T \mathbf{w}\right) = \hat{y}$$

$$\sigma(z) = \begin{cases} 0, \ z - \theta \le 0 \\ 1, \ z - \theta > 0 \end{cases}$$

$$w_0 = -\theta$$

Question for you: What are we computing here?

```
In [1]:
```

```
x0, x1, x2 = 1., 2., 3.
bias, w1, w2 = 0.1, 0.3, 0.5
x = [x0, x1, x2]w = [bias, w1, w2]
```

#### A simple for-loop:

```
In [2]:
```

```
z = 0.
for i in range(len(x)):
    z += x[i] * w[i]

print(z)
```

2.2

A simple for-loop:

```
In [2]:
z = 0.
for i in range(len(x)):
```

print(z)

2.2

A little bit better, list comprehensions:

```
In [3]:
```

```
z = sum(x_i*w_i for x_i, w_i in zip(x, w))
print(z)
```

2.2

z += x[i] \* w[i]

list comprehensions (still sequential):

```
In [3]:
z = sum(x_i*w_i for x_i, w_i in zip(x, w))
print(z)
```

2.2

A vectorized implementation using NumPy:

```
In [4]:
```

```
import numpy as np

x_vec, w_vec = np.array(x), np.array(w)

z = (x_vec.transpose()).dot(w_vec)
print(z)

z = x_vec.dot(w_vec)
print(z)
```

2.2

2.2

```
a)
     def forloop(x, w):
          z = 0.
          for i in range(len(x)):
              z += x[i] * w[i]
          return z
b)
     def listcomprehension(x, w):
          return sum(x i*w i for x i, w i in zip(x, w))
c)
     def vectorized(x, w):
          return x vec.dot(w vec)
     x, w = np.random.rand(100000), np.random.rand(100000)
```

Questions for you: Which one is the fastest?

How much faster is the fastest one compared to the slowest one?

```
In [6]:
         %timeit -r 100 -n 10 forloop(x, w)
         38.9 ms \pm 1.32 ms per loop (mean \pm std. dev. of 100 r
        uns, 10 loops each)
In [7]: | %timeit -r 100 -n 10 listcomprehension(x, w)
         29.7 ms \pm 842 \mus per loop (mean \pm std. dev. of 100 ru
        ns, 10 loops each)
In [8]:
         %timeit -r 100 -n 10 vectorized(x vec, w vec)
         46.8 \mus ± 8.07 \mus per loop (mean ± std. dev. of 100 r
        uns, 10 loops each)
```

30

## Interlude: Connections and Parallel Computation

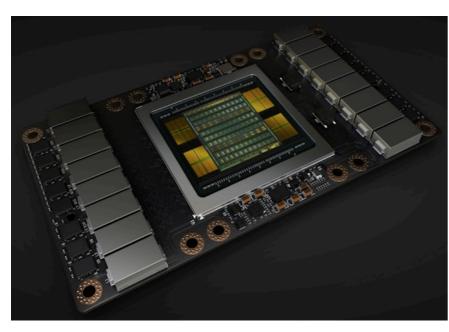


Image Source: https://fossbytes.com/wp-content/uploads/ 2017/05/nvidia-volta-v100-gpu.jpg

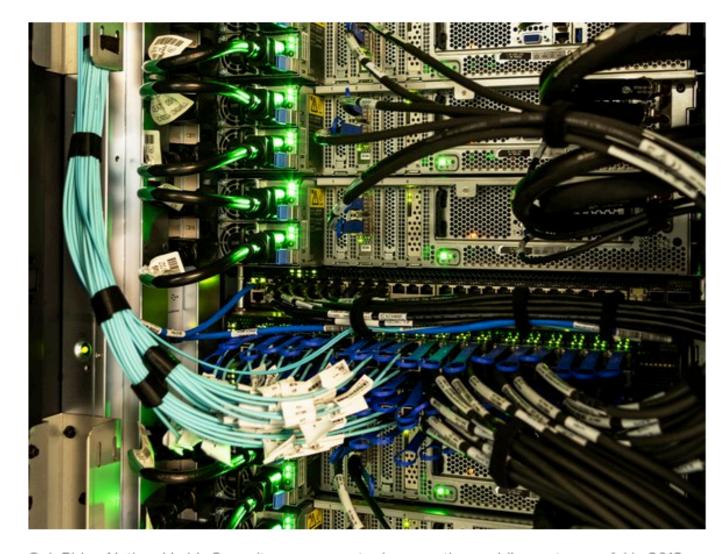
NVIDIA Volta with approx.  $2.1 \times 10^{10}$  transistors approx. only 10 connections per transistor



Image Source: https://timedotcom.files.wordpress.com/ 2014/05/brain.jpg?w=1100&quality=85

Brain with  $1.6 \times 10^{10}$  neurons  $10^4 - 10^5$  connections per neuron approx.  $10^{15}$  connections in total

# THE WORLD'S FASTEST SUPERCOMPUTER BREAKS AN AI RECORD



Oak Ridge National Lab's Summit supercomputer became the world's most powerful in 2018, reclaiming that title from China for the first time in five years.

CARLOS JONES/OAK RIDGE NATIONAL LAB

https://www.wired.com/story/worlds-fastestsupercomputer-breaks-ai-record/

> 27,000 GPUs "billion billion" operations per second (exaflop)

"Deep learning has never been scaled to such levels of performance before," says Prabhat (research group leader at Berkeley National Lab)

Application: Weather patterns (three-hour forecasts)



BUSINESS 01.21.2020 07:00 AM

#### Al Can Do Great Things—if It Doesn't Burn the Planet

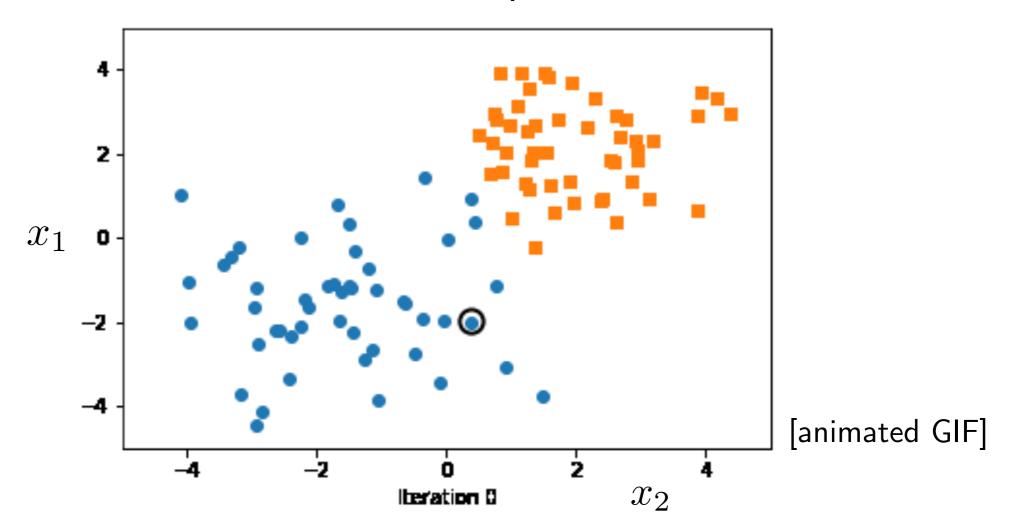
The computing power required for AI landmarks, such as recognizing images and defeating humans at Go, increased 300,000-fold from 2012 to 2018.



https://www.wired.com/story/ai-great-things-burn-planet/

## Perceptron Learning Rule

Assume binary classification task, Perceptron finds decision boundary if classes are separable

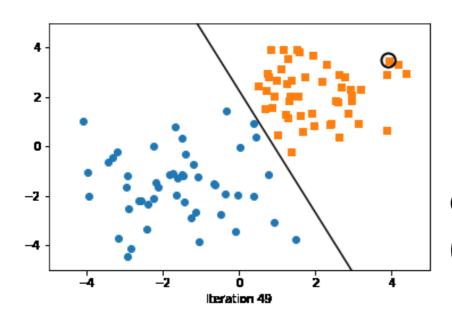


Code at <a href="https://github.com/rasbt/stat453-deep-learning-ss20/blob/master/L03-perceptron/code/perceptron-animation.ipynb">https://github.com/rasbt/stat453-deep-learning-ss20/blob/master/L03-perceptron/code/perceptron-animation.ipynb</a> if you want to play with it.

## The Perceptron Learning Algorithm

- If correct: Do nothing if the prediction if output is equal to the target
- If incorrect, scenario a):
   If output is 0 and target is 1, add input vector to weight vector
- If incorrect, scenario **b**):

  If output is 1 and target is 0, subtract input vector from weight vector



Guaranteed to converge if a solution exists (more about that later...)

## The Perceptron Learning Algorithm

Let

$$\mathcal{D} = (\langle \mathbf{x}^{[1]}, y^{[1]} \rangle, \langle \mathbf{x}^{[2]}, y^{[2]} \rangle, ..., \langle \mathbf{x}^{[n]}, y^{[n]} \rangle) \in (\mathbb{R}^m \times \{0, 1\})^n$$

- 1. Initialize  $\mathbf{w} := 0^m$  (assume notation where weight incl. bias)
- 2. For every training epoch:
  - A. For every  $\langle \mathbf{x}^{[i]}, y^{[i]} \rangle \in \mathcal{D}$ :
    - (a)  $\hat{y}^{[i]} := \sigma(\mathbf{x}^{[i] \top} \mathbf{w})$
    - (b) err :=  $(y^{[i]} \hat{y}^{[i]})$
    - (c)  $\mathbf{w} := \mathbf{w} + err \times \mathbf{x}^{[i]}$

# Perceptron Coding Example

https://github.com/rasbt/stat453-deep-learning-ss20/blob/master/L03-perceptron/code/perceptron-numpy.ipynb

https://github.com/rasbt/stat453-deep-learning-ss20/blob/master/L03-perceptron/code/perceptron-pytorch.ipynb

# Optional: Perceptron Convergence Theorem

- 1/5 -- Brains and neuron models
- 2/5 -- The perceptron learning rule
- 3/5 -- Optional: The perceptron convergence theorem
- 4/5 -- Geometric intuition
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# Perceptron Convergence Theorem

Let

$$\mathcal{D} = (\langle \mathbf{x}^{[1]}, y^{[1]} \rangle, \langle \mathbf{x}^{[2]}, y^{[2]} \rangle, ..., \langle \mathbf{x}^{[n]}, y^{[n]} \rangle) \in (\mathbb{R}^m \times \{0, 1\})^n$$

$$\forall y^{[i]} \in \mathcal{D}_1 : y^{[i]} = 1$$
  
 $\forall y^{[i]} \in \mathcal{D}_2 : y^{[i]} = 0$  and  $\mathcal{D}_1 \cup \mathcal{D}_2 = \mathcal{D}$ 

Assume the input vectors come from two linearly separable classes such that a feasible weight vector  $\mathbf{w}$  \* exists.

The perceptron learning algorithm is guaranteed to converge to a weight vector in the feasible region in a finite number of iterations such that

$$\forall \mathbf{x}^{[i]} \in \mathcal{D}_1 : \mathbf{w}^\top \mathbf{x}^{[i]} > 0$$

$$\forall \mathbf{x}^{[i]} \in \mathcal{D}_2 : \mathbf{w}^{\top} \mathbf{x}^{[i]} \leq 0$$

Let us slightly rewrite the update rule (upon misclassification) for convenience when we construct the proof:

$$\mathbf{w}^{[i+1]} = \mathbf{w}^{[i]} + \mathbf{x}^{[i]} \text{ if } (\mathbf{w}^{[i]})^{\top} \mathbf{x}^{[i]} \leq 0, \mathbf{x}^{[i]} \in \mathcal{D}_1$$

$$\mathbf{w}^{[i+1]} = \mathbf{w}^{[i]} - \mathbf{x}^{[i]} \text{ if } (\mathbf{w}^{[i]})^{\top} \mathbf{x}^{[i]} > 0 , \mathbf{x}^{[i]} \in \mathcal{D}_2$$

Here [i+1] refers to the weight vector of the <u>next</u> training example (that is, the weight after updating)

From the previous slide:

$$\mathbf{w}^{[i+1]} = \mathbf{w}^{[i]} + \mathbf{x}^{[i]} \text{ if } (\mathbf{w}^{[i]})^{\top} \mathbf{x}^{[i]} \leq 0, \mathbf{x}^{[i]} \in \mathcal{D}_1$$

We can rewrite this as follows:

$$\mathbf{w}^{[i+1]} = \mathbf{w}^{[0]} + \mathbf{x}^{[1]} + \dots + \mathbf{x}^{[i]}$$

Also, we can drop this term if we initialize the weight vector as  $0^m$ 

$$\mathbf{w}^{[i+1]} = \mathbf{x}^{[1]} + \dots + \mathbf{x}^{[i]}$$

From the previous slide, the update rule:

$$\mathbf{w}^{[i+1]} = \mathbf{x}^{[1]} + \dots + \mathbf{x}^{[i]}$$

Let's multiply both sides by  $\mathbf{w}^*$ :

$$(\mathbf{w}^*)^T \mathbf{w}^{[i+1]} = (\mathbf{w}^*)^T \mathbf{x}^{[1]} + \dots + (\mathbf{w}^*)^T \mathbf{x}^{[i]}$$



All these terms are > 0, because remember that we have

$$\mathbf{w}^{[i+1]} = \mathbf{w}^{[i]} + \mathbf{x}^{[i]} \text{ if } (\mathbf{w}^{[i]})^T \mathbf{x}^{[i]} \leq 0, \mathbf{x}^{[i]} \in \mathcal{D}_1$$

so the updates are all to make the net inputs more positive

Now, let 
$$\alpha = \min_{x^{[j]}} (\mathbf{w}^*)^T \mathbf{x}^{[j]}, \ j = 1, ..., i$$

then 
$$(\mathbf{w}^*)^T \mathbf{w}^{[i+1]} \ge \alpha i$$

From the previous slide, we had the inequality:

$$(\mathbf{w}^*)^T \mathbf{w}^{[i+1]} \ge \alpha i$$

Using the Cauchy-Schwarz inequality, we can then say

$$||\mathbf{w}^*||^2 \cdot ||\mathbf{w}^{[i+1]}||^2 \ge ((\mathbf{w}^*)^T \mathbf{w}^{[i+1]})^2$$

as well as

$$||\mathbf{w}^*||^2 \cdot ||\mathbf{w}^{[i+1]}||^2 \ge (\alpha i)^2$$

So, we can finally define the lower bound of the size of the weights

$$||\mathbf{w}^{[i+1]}||^2 \ge \frac{\alpha^2 i^2}{||\mathbf{w}^*||^2}$$

Now that we defined the <u>lower bound</u> of the size of the weights, let us get the <u>upper bound</u>.

For that, let's go back to the update rule

$$\mathbf{w}^{[i+1]} = \mathbf{w}^{[i]} + \mathbf{x}^{[i]} \text{ if } (\mathbf{w}^{[i]})^T \mathbf{x}^{[i]} \leq 0, \mathbf{x}^{[i]} \in \mathcal{D}_1$$

and apply the squared L2 norm on both sides

$$||\mathbf{w}^{[i+1]}||^2 = ||\mathbf{w}^{[i]} + \mathbf{x}^{[i]}||^2$$
$$= ||\mathbf{w}^{[i]}||^2 + 2(\mathbf{x}^{[i]})^T \mathbf{w}^{[i]} + ||\mathbf{x}^{[i]}||^2$$

Now that we defined the <u>lower bound</u> of the size of the weights, let us get the <u>upper bound</u>.

For that, let's go back to the update rule

$$\mathbf{w}^{[i+1]} = \mathbf{w}^{[i]} + \mathbf{x}^{[i]} \text{ if } (\mathbf{w}^{[i]})^T \mathbf{x}^{[i]} \leq 0, \mathbf{x}^{[i]} \in \mathcal{D}_1$$

and apply the squared L2 norm on both sides

$$||\mathbf{w}^{[i+1]}||^2 = ||\mathbf{w}^{[i]} + \mathbf{x}^{[i]}||^2$$
$$= ||\mathbf{w}^{[i]}||^2 + 2(\mathbf{x}^{[i]})^T \mathbf{w}^{[i]} + ||\mathbf{x}^{[i]}||^2$$

Leads to

$$||\mathbf{w}^{[i+1]}||^2 \le ||\mathbf{w}^{[i]}||^2 + ||\mathbf{x}^{[i]}||^2$$

Now that we defined the <u>lower bound</u> of the size of the weights, let us get the <u>upper bound</u>.

For that, let's go back to the update rule

$$\mathbf{w}^{[i+1]} = \mathbf{w}^{[i]} + \mathbf{x}^{[i]} \quad \text{if} \quad (\mathbf{w}^{[i]})^T \mathbf{x}^{[i]} \leq 0 , \mathbf{x}^{[i]} \in \mathcal{D}_1$$
implies

and apply the squared L2 norm on both sides

$$||\mathbf{w}^{[i+1]}||^2 = ||\mathbf{w}^{[i]} + \mathbf{x}^{[i]}||^2$$

$$= ||\mathbf{w}^{[i]}||^2 + 2(\mathbf{x}^{[i]})^T \mathbf{w}^{[i]} + ||\mathbf{x}^{[i]}||^2$$

$$< 0$$

#### Thus

$$||\mathbf{w}^{[i+1]}||^2 \le ||\mathbf{w}^{[i]}||^2 + ||\mathbf{x}^{[i]}||^2$$

Now, we simply expand:

$$||\mathbf{w}^{[i+1]}||^{2} \leq ||\mathbf{w}^{[i]}||^{2} + ||\mathbf{x}^{[i]}||^{2}$$

$$||\mathbf{w}^{[i+1]}||^{2} \leq ||\mathbf{w}^{[i-1]}||^{2} + ||\mathbf{x}^{[i-1]}||^{2} + ||\mathbf{x}^{[i]}||^{2}$$

$$||\mathbf{w}^{[i+1]}||^{2} \leq ||\mathbf{w}^{[i-2]}||^{2} + ||\mathbf{x}^{[i-2]}||^{2} + ||\mathbf{x}^{[i-1]}||^{2} + ||\mathbf{x}^{[i]}||^{2}$$

• •

$$||\mathbf{w}^{[i+1]}||^2 \le ||\mathbf{w}^{[1]}||^2 + \sum_{j=1}^{i} ||\mathbf{x}^{[j]}||^2$$
$$||\mathbf{w}^{[i+1]}||^2 \le \sum_{j=1}^{i} ||\mathbf{x}^{[j]}||^2$$

From 
$$||\mathbf{w}^{[i+1]}||^2 \leq \sum_{j=1}^i ||\mathbf{x}^{[j]}||^2$$
 we can finally get the upper bound.

Let 
$$\beta = \max ||\mathbf{x}^{[j]}||^2$$

then 
$$||\mathbf{w}^{[i+1]}||^2 \leq \beta i$$

#### lower bound

$$||\mathbf{w}^{[i+1]}||^2 \ge \frac{\alpha^2 i^2}{||\mathbf{w}^*||^2}$$

#### upper bound

$$||\mathbf{w}^{[i+1]}||^2 \le \beta i$$

#### combined

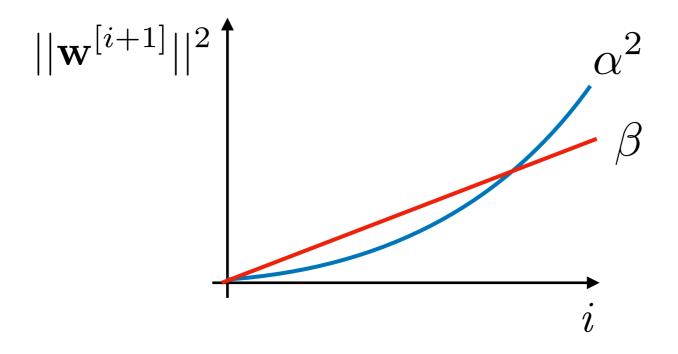
$$\beta_i \ge ||\mathbf{w}^{[i+1]}||^2 \ge \frac{\alpha^2 i^2}{||\mathbf{w}^*||^2}$$

$$i \le \frac{\beta ||\mathbf{w}^*||^2}{\alpha^2}$$

Since the number of iterations *i* has an upper bound,

we can conclude that the weights only change a finite number of times and will converge if the classes are linearly separable.

$$\beta i \ge ||\mathbf{w}^{[i+1]}||^2 \ge \alpha^2 i^2$$



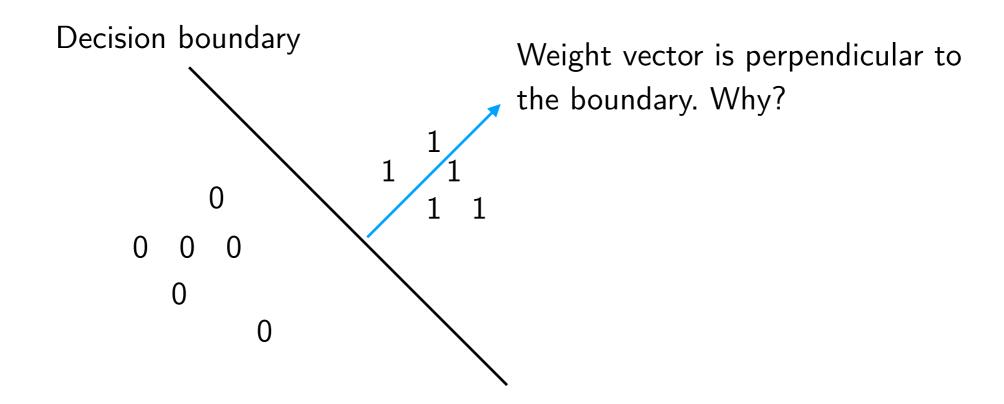
In the convergence theorem, we can assume that  $||\mathbf{w}^*|| = 1$  (so you may remove it from all equations)

$$\beta_i \ge ||\mathbf{w}^{[i+1]}||^2 \ge \frac{\alpha^2 i^2}{||\mathbf{w}^*||^2} \quad \Leftrightarrow \quad \beta_i \ge ||\mathbf{w}^{[i+1]}||^2 \ge \alpha^2 i^2$$

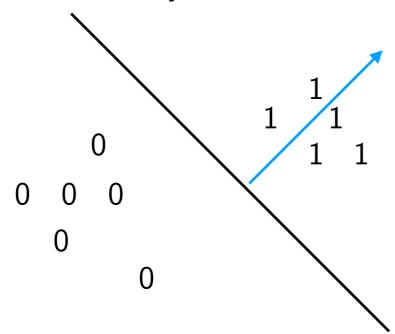
$$i \le \frac{\beta ||\mathbf{w}^*||^2}{\alpha^2} \quad \Leftrightarrow \quad i \le \frac{\beta}{\alpha^2}$$

# Geometric Intuition Behind the Perceptron

- 1/5 -- Brains and neuron models
- 2/5 -- The perceptron learning rule
- 3/5 -- Optional: The perceptron convergence theorem
- 4/5 -- Geometric intuition
- 5/5 -- HW1



Decision boundary



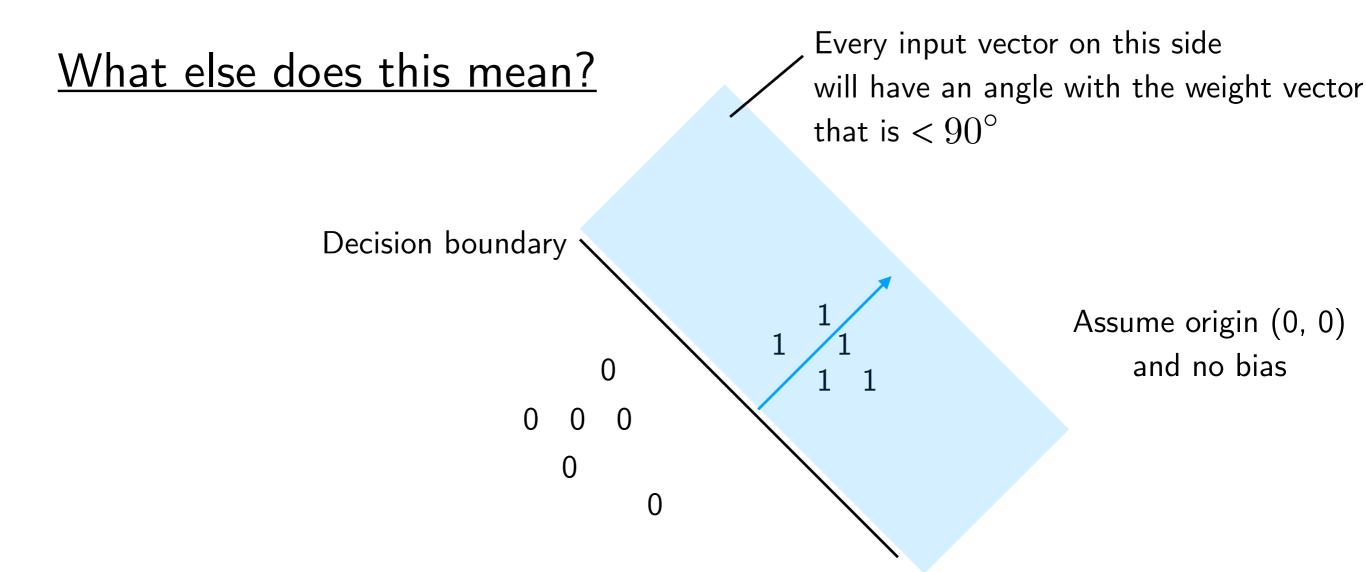
Weight vector is perpendicular to the boundary. Why?

Remember,

$$\hat{y} = \begin{cases} 0, \ \mathbf{w}^T \mathbf{x} \le 0 \\ 1, \ \mathbf{w}^T \mathbf{x} > 0 \end{cases}$$

$$\mathbf{w}^T \mathbf{x} = ||\mathbf{w}|| \cdot ||\mathbf{x}|| \cdot \cos(\theta)$$

So this needs to be 0 at the boundary, and it is zero at  $90^{\circ}$ 



So, we could scale the weights and/or inputs by an arbitrary factor and still get the same classification results (but large inputs will take much longer to converge if you check the bounds we defined previously ...)



**CORRECT SIDE** 



weight vector must be somewhere such that the angle is < 90 degrees to make a correct prediction

WRONG SIDE

The dot product will then be positive, i.e., > 0, since

$$\mathbf{w}^T \mathbf{x} = ||\mathbf{w}|| \cdot ||\mathbf{x}|| \cdot \cos(\theta)$$

input vector for an example with label 0

WRONG SIDE

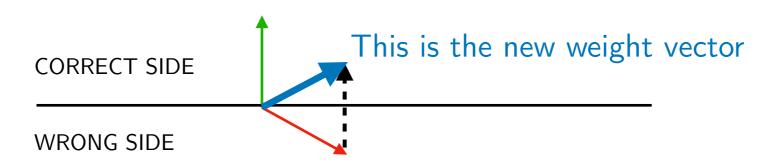
**CORRECT SIDE** 

weight vector must be somewhere such that the angle is  $\geq$  90 degrees to make a correct prediction

The dot product will then  $\leq$  0, since

$$\mathbf{w}^T \mathbf{x} = ||\mathbf{w}|| \cdot ||\mathbf{x}|| \cdot \cos(\theta)$$

input vector for an example with label 1



For this weight vector, we make a wrong prediction; hence, we update

## Perceptron Conclusions

The (classic) Perceptron has many problems (as discussed in the previous lecture)

- Linear classifier, no non-linear boundaries possible
- Binary classifier, cannot solve XOR problems, for example
- Does not converge if classes are not linearly separable
- Many "optimal" solutions in terms of 0/1 loss on the training data, most will not be optimal in terms of generalization performance





https://qph.fs.quoracdn.net/main-qimg-305eb8136c4a20f348bb7ab465bc2e10

http://the conversation.com/want-to-beat-climate-change-protect-our-natural-forests-121491

## Perceptron Fun Fact

[...] Where a perceptron had been trained to distinguish between - this was for military purposes - it was looking at a scene of a forest in which there were camouflaged tanks in one picture and no camouflaged tanks in the other. And the perceptron - after a little training - made a 100% correct distinction between these two different sets of photographs. Then they were embarrassed a few hours later to discover that the two rolls of film had been developed differently. And so these pictures were just a little darker than all of these pictures and the perceptron was just measuring the total amount of light in the scene. But it was very clever of the perceptron to find some way of making the distinction.

-- Marvin Minsky, Famous Al researcher, Author of the famous "Perceptrons" book

Source: https://www.webofstories.com/play/marvin.minsky/122

We can say the perceptron optimizes a loss function analogous to the <u>squared error in least-squares regression</u>, except that we have targets and outputs:

$$\mathcal{L}(\mathbf{w}, b) = \sum_{i} \frac{1}{2} (\hat{y}^{[i]} - y^{[i]})^2 \qquad \text{where} \quad \hat{y} = \sigma(\mathbf{w}^T \mathbf{x} + b) = \begin{cases} 0, \ \mathbf{w}^T \mathbf{x} + b \le 0 \\ 1, \ \mathbf{w}^T \mathbf{x} + b > 0 \end{cases}$$

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$$\frac{\partial \mathcal{L}}{\partial w_{j}} = \frac{\partial}{\partial w_{j}} \sum_{i} (\hat{y}^{[i]} - y^{[i]})^{2}$$

$$= \frac{\partial}{\partial w_{j}} \sum_{i} (\sigma(\mathbf{w}^{T} \mathbf{x}^{[i]}) - y^{[i]})^{2}$$

$$= \sum_{i} 2(\sigma(\mathbf{w}^{T} \mathbf{x}^{[i]}) - y^{[i]}) \frac{\partial}{\partial w_{j}} (\sigma(\mathbf{w}^{T} \mathbf{x}^{[i]}) - y^{[i]})$$

$$= \sum_{i} 2(\sigma(\mathbf{w}^{T} \mathbf{x}^{[i]}) - y^{[i]}) \sigma'(\mathbf{w}^{T} \mathbf{x}^{[i]}) \frac{\partial}{\partial w_{j}} \mathbf{w}^{T} \mathbf{x}^{[i]}$$

$$= \sum_{i} 2(\sigma(\mathbf{w}^{T} \mathbf{x}^{[i]}) - y^{[i]}) \sigma'(\mathbf{w}^{T} \mathbf{x}^{[i]}) x_{j}^{[i]}$$

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$$\begin{split} \frac{\partial \mathcal{L}}{\partial w_{j}} &= \frac{\partial}{\partial w_{j}} \sum_{i} (\hat{y}^{[i]} - y^{[i]})^{2} \\ &= \frac{\partial}{\partial w_{j}} \sum_{i} (\sigma(\mathbf{w}^{T} \mathbf{x}^{[i]}) - y^{[i]})^{2} \\ &= \sum_{i} 2(\sigma(\mathbf{w}^{T} \mathbf{x}^{[i]}) - y^{[i]}) \frac{\partial}{\partial w_{j}} (\sigma(\mathbf{w}^{T} \mathbf{x}^{[i]}) - y^{[i]}) \\ &= \sum_{i} 2(\sigma(\mathbf{w}^{T} \mathbf{x}^{[i]}) - y^{[i]}) \sigma'(\mathbf{w}^{T} \mathbf{x}^{[i]}) \frac{\partial}{\partial w_{j}} \mathbf{w}^{T} \mathbf{x}^{[i]} \\ &= \sum_{i} 2(\sigma(\mathbf{w}^{T} \mathbf{x}^{[i]}) - y^{[i]}) \sigma'(\mathbf{w}^{T} \mathbf{x}^{[i]}) x_{j}^{[i]} \\ &= \sum_{i} 2(\sigma(\mathbf{w}^{T} \mathbf{x}^{[i]}) - y^{[i]}) \sigma'(\mathbf{w}^{T} \mathbf{x}^{[i]}) x_{j}^{[i]} \\ &= \sum_{i} 2(\sigma(\mathbf{w}^{T} \mathbf{x}^{[i]}) - y^{[i]}) \sigma'(\mathbf{w}^{T} \mathbf{x}^{[i]}) x_{j}^{[i]} \end{split}$$

We can say the perceptron optimizes a loss function analogous to the <u>squared error in least-squares regression</u>, except that we have targets and outputs:

$$\mathcal{L}(\mathbf{w}, b) = \sum_{i} \frac{1}{2} (\hat{y}^{[i]} - y^{[i]})^2 \qquad \text{where} \quad \hat{y} = \sigma(\mathbf{w}^T \mathbf{x} + b) = \begin{cases} 0, \ \mathbf{w}^T \mathbf{x} + b \le 0 \\ 1, \ \mathbf{w}^T \mathbf{x} + b > 0 \end{cases}$$

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However, perceptron does something very similar to stochastic gradient descent:

(not a real derivative)

$$\frac{\partial \mathcal{L}}{\partial w_j} = (y^{[i]} - \hat{y}^{[i]}) x_j$$
$$w_j := w_j + \frac{\partial \mathcal{L}}{\partial w_j}$$

# On Deep Learning vs How the Brain Works

**MARTIN FORD:** You gave an interview toward the end of 2017 where you said that you were suspicious of the backpropagation algorithm and that it needed to be thrown out and we needed to start from scratch.1 That created a lot of disturbance, so I wanted to ask what you meant by that?

properly reported. I was talking about trying to understand the brain, and I was raising the issue that backpropagation may not be the right way to understand the brain. We don't know for sure, but there are some reasons now for believing that the brain might not use backpropagation. I said that if the brain doesn't use backpropagation, then whatever the brain is using would be an interesting candidate for artificial systems. I didn't at all mean that we should throw out backpropagation. Backpropagation is the mainstay of all the deep learning that works, and I don't think we should get rid of it.

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(Excerpt from "Architects of Intelligence")

<sup>1</sup> See: https://www.axios.com/artificial-intelligence-pioneer-says-we-need-to-start-over-1513305524-f619efbd-9db0-4947-a9b2-7a4c310a28fe.html

- 1/5 -- Brains and neuron models
- 2/5 -- The perceptron learning rule
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## Homework Assignment 1

#### Your task:

Based on the NumPy example, implement Perceptron code into pure Python to become more familiar of how PyTorch/NumPy (and the Perceptron) works (=> no NumPy, no PyTorch, etc.)

1) Download code from:

https://github.com/rasbt/stat453-deep-learning-ss20/tree/master/hw01

2) Submit solution via Canvas for grading (submission deadline will be announced)